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VERSION 3.0

# **ABB Policy on Conflict Minerals**

## Effective as of July 1, 2024

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## Background

ABB strives to be a good corporate citizen. We are committed to ensuring the health, safety and protection of people who come into contact with our products and business, and we require high social, environmental, and human rights standards among our suppliers. Managing our obligations in relation to Conflict Minerals is a part of this corporate responsibility.

In August 2012, the United States Securities and Exchange Commission (“SEC”) issued its final rules regarding “Conflict Minerals” (known as ‘3TG’ – Tantalum, Tin, Tungsten and Gold) as defined in and required by section 1502 of the Dodd – Frank Wall Street Reform and Consumer Protection Act (the “Act”). As a result, many companies are now focusing on whether and to what extent their products contain Conflict Minerals and whether such Conflict Minerals come from the Democratic Republic of Congo and the adjoining countries named in the Act.

On 1 January 2021 a new law called the EU Conflict Minerals Regulation, also known as Regulation (EU) 2017/821, came into full force across the EU. The Regulation requires EU importers of tantalum, tin, tungsten, and gold to source from responsible and conflict-free sources only.

# ABB's commitments

We are working towards ensuring that our products do not contain Conflict Minerals that have been sourced from mines that support or fund conflict within the Democratic Republic of Congo or adjoining countries and CAHRA (Conflict Affected and High-Risk Areas) under Regulation (EU) 2017/821 Therefore, we are committed to:

- Identifying which ABB products are impacted and targeting our efforts accordingly.
- Not buying products and materials containing Conflict Minerals directly from Conflict Mines
- Asking our suppliers to work towards ensuring that any Conflict Minerals contained in the products and materials supplied to ABB originate from Conflict Free Sources
- Contributing to conflict-free trade by encouraging our suppliers not to discriminate against legitimate sources of Conflict Minerals

In addition to carefully tracking our sources for tantalum, tin, tungsten, and gold, in 2023-24, we continue to expand our survey to cover the use of Cobalt and Mica in ABB products.

In addition, we are committed to engaging with our customers regarding their disclosure obligations.

## ABB's measures

In working towards these commitments, we have taken several steps that include:

- Establishing a “Conflict Minerals Compliance Program” supported and sponsored by ABB’s Executive Committee to review the use of Conflict Minerals in our products and to be in a position to fulfill our reporting obligations and respond to customer inquiries. This program was developed based on the OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict Affected and High-Risk Areas and other appropriate international standards.
- Requiring our suppliers to implement a policy regarding Conflict Minerals and exercise due diligence to investigate the source of these minerals.
- Engaging with our suppliers so that they respond in a timely manner to our requests for evidence of compliance. In the future, our suppliers’ willingness to comply with this initiative will be a factor in our sourcing decisions.
- The ABB Supplier Code of Conduct and our purchasing terms and conditions reflect this policy.
- ABB participates in the outreach effort through Responsible Minerals Initiative (RMI) and its member companies. This helps to work directly with Smelters and Refiners (SOR’s) to have them undergo the RMAP (Responsible Minerals Assessment Program) via RMI.

Like many other companies tracking Conflict Minerals, it will take time for a company of our size and complexity to collect the information needed for us to fully understand our use of Conflict Minerals and therefore be able to address all related customer concerns.